

12/31/99 U.S. PTO

JCS11 U.S. PTO
12/31/99 475945

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. : 1092-106.US

First Inventor or Application Identifier : POTEKA, Patrick H.

Title : "Software for Configuring and Delivering Power"

Express Mail Label No. : EJ748186453US

ADDRESS TO: Assistant Commissioner for Patents, Box Patent Application, Washington, D.C. 20231

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

1. *Fee transmittal Form (e.g., PTO/SB/17) (Submit an original, and a duplicate for fee processing)
2. Specification of 132 pages
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to Microfiche Appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
3. Drawing(s) (35 U.S.C. 113) Total sheets: 44
4. Oath or Declaration and Power of Attorney Total pages: 2 pages
 - a. Newly executed (original or copy)
 - b. Copy from a prior application (37 C.F.R. §1.63(d))
(for continuation/divisional with Box 17 completed) [Note Box 5 below]
 - i. Deletion of inventor(s)
Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b)
5. Incorporation By Reference (useable if Box 4b is checked)
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered to be part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6. Microfiche Computer Program (Appendix)
7. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. Computer Readable Copy
 - b. Paper Copy (identical to computer copy)
 - c. Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

8. Assignment Papers (cover sheet & document(s))

UTILITY PATENT APPLICATION TRANSMITTAL, continued

9. 37 CFR 3.73(b) Statement (*when there is an assignee*) Power of Attorney
10. English Translation Document (*if applicable*)
11. Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS citations
12. Preliminary Amendment
13. Return Receipt Postcard (MPEP 503) (*Should be specifically itemized*)
14. *Small Entity Statements (PTO/SB/09-12)
 Statement filed in prior application, status still proper and desired
15. Certified Copy of Priority Document(s) (*if foreign priority is claimed*)
16. Other: Check

**A new statement is required to be entitled to pay small entity fees, except where one has been filed in a prior application and is being relied upon.*

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment.

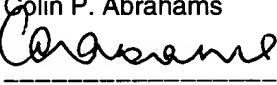
continuation divisional continuation-in-part (CIP)
of prior application No.:

Prior application information: *Examiner:* *Group/Art Unit:*

18. CORRESPONDENCE ADDRESS

Customer Number or Bar Code Label or Correspondence address below

Name: Colin P. Abrahams
Address: 5850 Canoga Avenue, Suite 400
Woodland Hills, California 91367, USA
Tel: (818)710-2788 Fax: (818)710-2798

Name: Colin P. Abrahams Registration No. (Attorney/Agent) 32,393
Signature:  Date: December 31, 1999

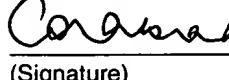
Certificate of Mailing

Express Mail Label No.: EJ748186453US

Date of Deposit: December 31, 1999.

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231

Colin P. Abrahams
(typed name)


(Signature)

It/1092-106.US/03

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL

for FY 1999

Patent fees are subject to annual revision.
Small Entity payments must be supported by a small entity statement,
otherwise large entity fees must be paid. See Forms PTO/SB/09-12.

TOTAL AMOUNT OF PAYMENT (\$ 536.00)

Complete if Known

Application Number	Filed herewith
Filing Date	Concurrent herewith
First Named Inventor	POTEWA, Patrick H.
Examiner Name	Not known
Group / Art Unit	Not known
Attorney Docket No.	1092-106.US

METHOD OF PAYMENT (check one)				FEE CALCULATION (continued)																																																																																																																																							
<p>1. <input type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:</p> <p>Deposit Account Number <input type="text"/></p> <p>Deposit Account Name <input type="text"/></p> <p><input type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17</p>				<p>3. ADDITIONAL FEES</p> <table border="1"> <thead> <tr> <th>Large Entity Fee Code (\$)</th> <th>Small Entity Fee Code (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>105</td><td>130</td><td>205</td><td>65</td></tr> <tr><td>127</td><td>50</td><td>227</td><td>25</td></tr> <tr><td>139</td><td>130</td><td>139</td><td>130</td></tr> <tr><td>147</td><td>2,520</td><td>147</td><td>2,520</td></tr> <tr><td>112</td><td>920*</td><td>112</td><td>920*</td></tr> <tr><td>113</td><td>1,840*</td><td>113</td><td>1,840*</td></tr> <tr><td>115</td><td>110</td><td>215</td><td>55</td></tr> <tr><td>116</td><td>380</td><td>216</td><td>190</td></tr> <tr><td>117</td><td>870</td><td>217</td><td>435</td></tr> <tr><td>118</td><td>1,360</td><td>218</td><td>680</td></tr> <tr><td>128</td><td>1,850</td><td>228</td><td>925</td></tr> <tr><td>119</td><td>300</td><td>219</td><td>150</td></tr> <tr><td>120</td><td>300</td><td>220</td><td>150</td></tr> <tr><td>121</td><td>260</td><td>221</td><td>130</td></tr> <tr><td>138</td><td>1,510</td><td>138</td><td>1,510</td></tr> <tr><td>140</td><td>110</td><td>240</td><td>55</td></tr> <tr><td>141</td><td>1,210</td><td>241</td><td>605</td></tr> <tr><td>142</td><td>1,210</td><td>242</td><td>605</td></tr> <tr><td>143</td><td>430</td><td>243</td><td>215</td></tr> <tr><td>144</td><td>580</td><td>244</td><td>290</td></tr> <tr><td>122</td><td>130</td><td>122</td><td>130</td></tr> <tr><td>123</td><td>50</td><td>123</td><td>50</td></tr> <tr><td>126</td><td>240</td><td>126</td><td>240</td></tr> <tr><td>581</td><td>40</td><td>581</td><td>40</td></tr> <tr><td>146</td><td>760</td><td>246</td><td>380</td></tr> <tr><td>149</td><td>760</td><td>249</td><td>380</td></tr> <tr><td colspan="4">Other fee (specify) _____</td></tr> <tr><td colspan="4">Other fee (specify) _____</td></tr> <tr> <td colspan="4" style="text-align: right;">SUBTOTAL (1) (\$ 380)</td> <td colspan="4" style="text-align: right;">SUBTOTAL (3) (\$ _____)</td> </tr> <tr> <td colspan="4" style="text-align: right;">Reduced by Basic Filing Fee Paid</td> <td colspan="4"></td> </tr> </tbody> </table>				Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid	105	130	205	65	127	50	227	25	139	130	139	130	147	2,520	147	2,520	112	920*	112	920*	113	1,840*	113	1,840*	115	110	215	55	116	380	216	190	117	870	217	435	118	1,360	218	680	128	1,850	228	925	119	300	219	150	120	300	220	150	121	260	221	130	138	1,510	138	1,510	140	110	240	55	141	1,210	241	605	142	1,210	242	605	143	430	243	215	144	580	244	290	122	130	122	130	123	50	123	50	126	240	126	240	581	40	581	40	146	760	246	380	149	760	249	380	Other fee (specify) _____				Other fee (specify) _____				SUBTOTAL (1) (\$ 380)				SUBTOTAL (3) (\$ _____)				Reduced by Basic Filing Fee Paid							
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SUBMITTED BY				Complete if applicable	
Typed or Printed Name	Colin P. Abrahams			Reg. Number	32,393
Signature	<i>Colin P. Abrahams</i>	Date	12/31/99	Deposit Account User ID	

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

**VERIFIED STATEMENT CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9 (f) AND 1.27 (b)) - INDEPENDENT INVENTOR**

Attorney's Docket No. 1092-106.US

Applicant or Patentee: Patrick H. Poteaga

Serial or Patent No.: To be assigned

Filed or Issued: Filed herewith

For: "Software for Configuring and Delivering Power"

As a below named inventor, I hereby declare that I qualify as an independent inventor as defined in 37CFR1.9(c) for purposes of paying reduced fees to the Patent and Trademark Office described in

the specification filed herewith with title as listed above.
 the application identified above.
 the patent identified above.

I have not assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who would not qualify as an independent inventor under 37 CFR 1.9 (c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9 (d) or a nonprofit organization under 37 CFR 1.9 (e).

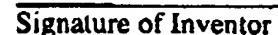
Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey or license any rights in the invention is listed below:

No such person, concern, or organization exists.
 Each such person, concern or organization is listed below.

Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28 (b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

PATRICK H. POTEAGA
Signature of Inventor**NAME OF INVENTOR**
Signature of Inventor**NAME OF INVENTOR**
Signature of Inventor

Date: December 31, 1999

Date:

Date: